

**Declaration of U.S. Foreign Account Tax Compliance Act (FATCA) and
Common Reporting Standard (CRS)**

(Applicable for limited company or partnership)

By proceeding with submitting the account opening application (the “**Application**”) and providing the information contained therein, I am making all declarations, certifications, authorizations, acknowledgements, agreements and undertakings set out in this declaration.

1. Under penalties of perjury, I declare that I have examined the information provided in the Application and, to the best of my knowledge and belief, it is true, correct and complete. I further certify under penalties of perjury that:
 - (a) the entity identified in the Application is the beneficial owner of all the income to which the Application relates, is using the Application to certify its FATCA status, or is a merchant submitting the Application for purposes of section 6050W or 6050Y of United States Internal Revenue Code of 1986;
 - (b) the entity identified in the Application is not a U.S. person;
 - (c) the Application relates to:
 - (i) income not effectively connected with the conduct of a trade or business in the U.S.;
 - (ii) income effectively connected with the conduct of a trade or business in the U.S. but is not subject to tax under a U.S. income tax treaty;
 - (iii) *(only applicable in the case of a partnership)* the partner’s share of a partnership’s effectively connected income; or
 - (iv) *(only applicable in the case of a partnership)* the partner’s amount realized from the transfer of a partnership interest subject to withholding under section 1446(f) of United States Internal Revenue Code of 1986; and
 - (d) for broker transactions or barter exchanges, the beneficial owner is an exempt foreign person as defined in the instructions of Internal Revenue Service Form W-8BEN-E.

2. Furthermore, I authorize the information in the Application to be provided to any withholding agent that has control, receipt or custody of the income of which the entity in the Application is the beneficial owner or any withholding agent that can disburse or make payments of the income of which the entity in the Application is the beneficial owner. I agree that I will submit a new form for the Application within 30 days if any certification made on the Application becomes incorrect.

3. I acknowledge and agree that (a) the information contained in the Application is collected and may be kept by Ping An OneConnect Bank (Hong Kong) Limited (the “**Bank**”) for the purpose of automatic exchange of financial account information, and (b) such information and information regarding the account

holder and any reportable account(s) may be reported by the Bank to the Inland Revenue Department of the Government of the Hong Kong Special Administrative Region of the People's Republic of China and exchanged with the tax authorities of another jurisdiction or jurisdictions in which the account holder may be resident for tax purposes, pursuant to the legal provisions for exchange of financial account information provided under the Inland Revenue Ordinance (Chapter 112 of the Laws of Hong Kong).

4. I certify that I am authorized to sign for the account holder of all the account(s) to which the Application relates.
5. I undertake to advise the Bank of any change in circumstances which affects the tax residency status of the entity identified in the Application or causes the information contained herein to become incorrect, and to provide the Bank with a suitably updated form for the Application within 30 days of such change in circumstances.
6. I declare that the information given and statements made in the Application are, to the best of my knowledge and belief, true, correct and complete.
7. I undertake to fully cooperate with the Bank to ensure it meets its obligations under applicable laws, regulations and directives in connection with the account(s) of the entity identified in the Application.

WARNING: It is an offence under section 80(2E) of the Inland Revenue Ordinance (Chapter 112 of the Laws of Hong Kong) if any person, in making a self-certification, makes a statement that is misleading, false or incorrect in a material particular AND knows, or is reckless as to whether, the statement is misleading, false or incorrect in a material particular. A person who commits the offence is liable on conviction to a fine at level 3 (i.e., HK\$10,000).

If there is any inconsistency between the English version and the Chinese version of this declaration, the English version shall prevail.

**Declaration of U.S. Foreign Account Tax Compliance Act (FATCA) and
Common Reporting Standard (CRS)**

(Applicable for sole-proprietor)

By proceeding with submitting the account opening application (the “**Application**”) and providing the information contained therein, I am making all declarations, certifications, authorizations, acknowledgements, agreements and undertakings set out in this declaration.

1. Under penalties of perjury, I declare that I have examined the information provided in the Application and, to the best of my knowledge and belief, it is true, correct and complete. I further certify under penalties of perjury that:
 - (a) I am the individual that is the beneficial owner of all the income to which the Application relates or am using the Application to document myself for FATCA purposes;
 - (b) the person named in the Application is not a U.S. person;
 - (c) the Application relates to:
 - (i) income not effectively connected with the conduct of a trade or business in the U.S.;
 - (ii) income effectively connected with the conduct of a trade or business in the U.S. but is not subject to tax under a U.S. income tax treaty;
 - (iii) *(only applicable in the case of a partnership)* the partner’s share of a partnership’s effectively connected income; or
 - (iv) *(only applicable in the case of a partnership)* the partner’s amount realized from the transfer of a partnership interest subject to withholding under section 1446(f) of United States Internal Revenue Code of 1986; and
 - (d) for broker transactions or barter exchanges, the beneficial owner is an exempt foreign person as defined in the instructions of Internal Revenue Service Form W-8BEN-E.

2. Furthermore, I authorize the information in the Application to be provided to any withholding agent that has control, receipt or custody of the income of which I am the beneficial owner or any withholding agent that can disburse or make payments of the income of which I am the beneficial owner. I agree that I will submit a new form for the Application within 30 days if any certification made on the Application becomes incorrect.

3. I acknowledge and agree that (a) the information contained in the Application is collected and may be kept by Ping An OneConnect Bank (Hong Kong) Limited (the “**Bank**”) for the purpose of automatic exchange of financial account information, and (b) such information and information regarding the account holder and any reportable account(s) may be reported by the Bank to the Inland Revenue Department of the Government of the Hong Kong Special Administrative Region of the People’s Republic of China and exchanged with the

tax authorities of another jurisdiction or jurisdictions in which the account holder may be resident for tax purposes, pursuant to the legal provisions for exchange of financial account information provided under the Inland Revenue Ordinance (Chapter 112 of the Laws of Hong Kong).

4. I certify that I am the account holder of all the account(s) to which the Application relates.
5. I undertake to advise the Bank of any change in circumstances which affects the tax residency status of the individual identified in the Application or causes the information contained herein to become incorrect, and to provide the Bank with a suitably updated form for the Application within 30 days of such change in circumstances.
6. I declare that the information given and statements made in the Application are, to the best of my knowledge and belief, true, correct and complete.
7. I undertake to fully cooperate with the Bank to ensure it meets its obligations under applicable laws, regulations and directives in connection with my account(s).

WARNING: It is an offence under section 80(2E) of the Inland Revenue Ordinance (Chapter 112 of the Laws of Hong Kong) if any person, in making a self-certification, makes a statement that is misleading, false or incorrect in a material particular AND knows, or is reckless as to whether, the statement is misleading, false or incorrect in a material particular. A person who commits the offence is liable on conviction to a fine at level 3 (i.e., HK\$10,000).

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